

Board Compliance Monitoring Results April 2013

Policies Being Monitored: 3.0 – Global Governance Commitment; 3.2 – Board Job Description; 3.3 – Board Member Code of Conduct; 3.4 – Agenda Planning; 3.5 – Board Chair (Chief Governance Officer) Role; 3.6 – Board Committee Principles; 3.7 – Board Committee Structure; 3.8 – Cost of Governance

Surveys Completed: 11

1. Are we are in strict compliance with the policy as stated?

3.0	3.2	3.3	3.4	3.5	3.6	3.7	3.8
Yes = 11 No = 0	Yes = 10 No = 1	Yes = 10 No = 1	Yes = 10 No = 1	Yes = 11 No = 0	Yes = 11 No = 0	Yes = 11 No = 0	Yes = 11 No = 0

2. If you indicated that the Board is not in strict compliance with the policy as stated, please indicate what you notice that gives evidence that we are *not* in compliance?

3.0	3.2	3.3	3.4	3.5	3.6	3.7	3.8
No responses	Not sure we have the link between the ownership and the operation - we haven't had contact with our owners for a couple of years.	On #6, we had one incident when someone in the community was privy to information shared at a board meeting. The issue was brought up and confronted in a board meeting. I don't know if we know who the individual/individuals were who shared the confidential information and if there was follow up.	We have not defined a board exploration.	No responses	No responses	No responses	No responses

3. How do you think we could improve our process to be in full compliance?

3.0	3.2	3.3	3.4	3.5	3.6	3.7	3.8
All members of the Board need to be aware of and understand the policies.	Still need to improve community visibility and communication with the operational organization.	Need to improve our skill in fund development.	We should focus the board on what our learning/exploration should be.	No responses	No responses	No responses	No responses

4. What do we need to learn or discuss in order to live by our policies more completely?

3.0	3.2	3.3	3.4	3.5	3.6	3.7	3.8
No responses	<ul style="list-style-type: none"> Do we have a good link between the ownership and the operational part of our organization? By ownership I mean the membership part of our ownership? I'm not sure the board is active in this role. We may be, I'm not sure. More intentional contact with our owners. 	Continue to be prepared to discuss all matters presented to the Board.	Continue to be prepared to discuss and decide on all matters presented to the Board as expeditiously as possible.	No responses	No responses	No responses	I believe that this Board, with the assistance of the CEO, exercises reasonable fiduciary responsibility.

5. Does this policy remain in compliance with the Policy Governance model in terms of content and format?

3.0	3.2	3.3	3.4	3.5	3.6	3.7	3.8
Yes = 11 No = 0	Yes = 10 No = 0 Skipped = 1	Yes = 10 No = 0 Skipped = 1	Yes = 9 No = 0 Skipped = 2	Yes = 10 No = 0 Skipped = 1	Yes = 10 No = 0 Skipped = 1	Yes = 10 No = 0 Skipped = 1	Yes = 10 No = 0 Skipped = 1